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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ana Gabriela Anaya  
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Title : LINE HANDLER

Art Unit : 2164  
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#8/6/01  
J. Calve  
3/1/02

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE

In response to the action mailed September 17, 2001, please amend the application as follows:

In the specification:

Paragraph beginning at page 4, lines 23-24 have been amended as follows:

a1 FIG. 13D is a class diagram of one embodiment of the alert engine service object of the program of FIGS. 11 and 12;

In the claims:

Claims 4, 19, 28, 30, 33, 34 and 36 have been amended as follows:

a2 4. (Amended) The method of claim 1, wherein the incoming messages comprise any combination of trading quotations, indices, volumes, exchange halts, and trading parties.

a3 19. (Amended) The product of claim 16, wherein the incoming messages comprise any combination of trading quotations, indices, volumes, exchange halts, and trading parties.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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